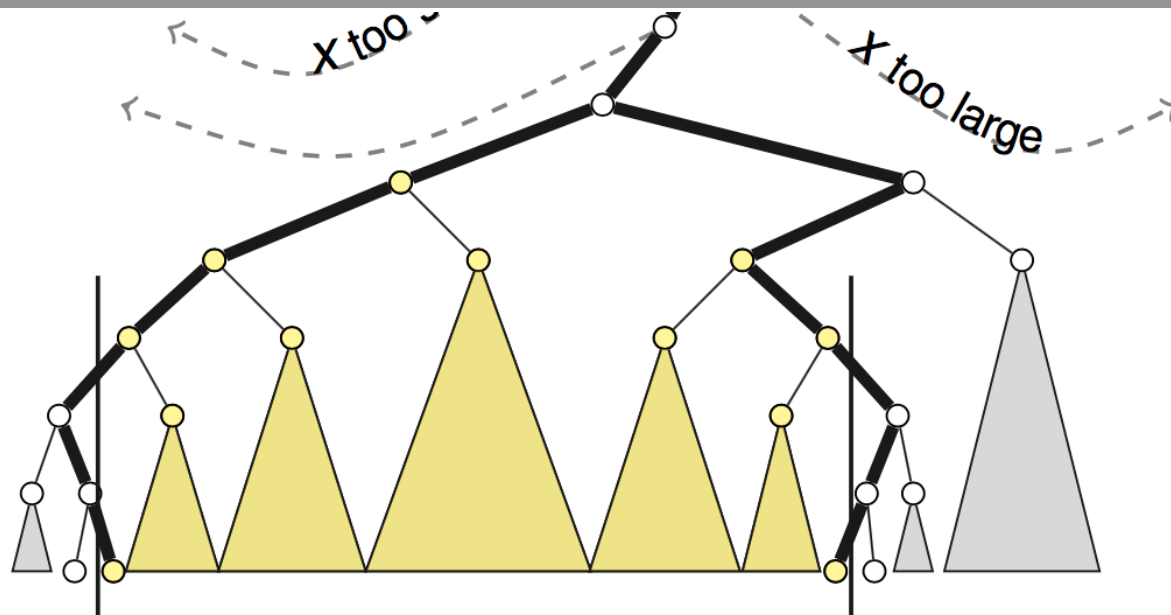


# Algorithmen II

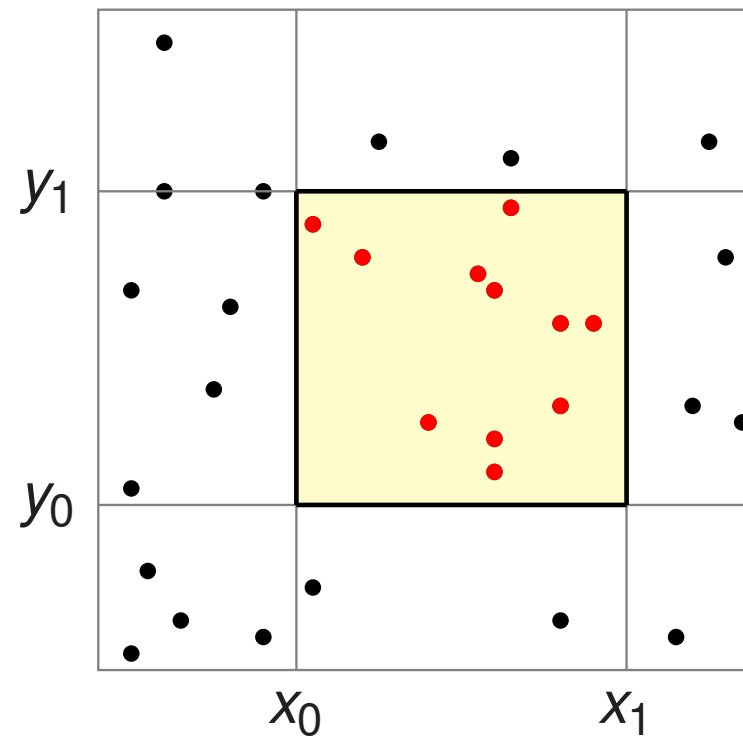
Simon Gog – [gog@kit.edu](mailto:gog@kit.edu)

Institute for Theoretical Informatics - Algorithms II

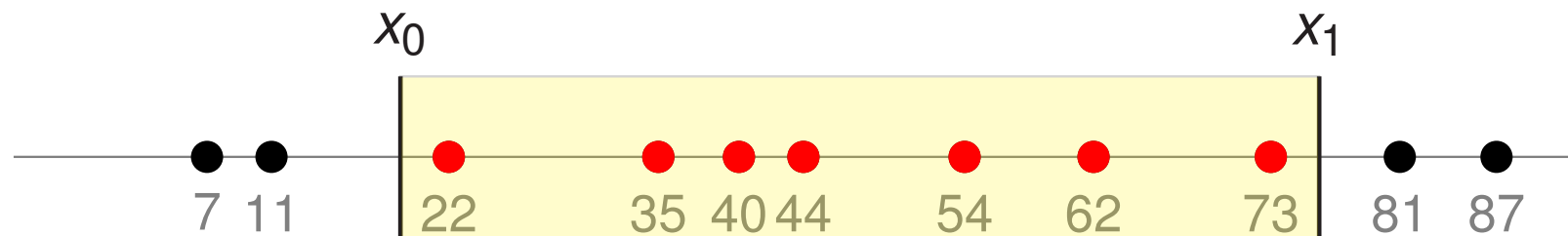


# Orthogonal range searching

Classical OLAP queries: „Find all users aged between 30 and 35 who are connected to at least 100 and at most 200 other users”



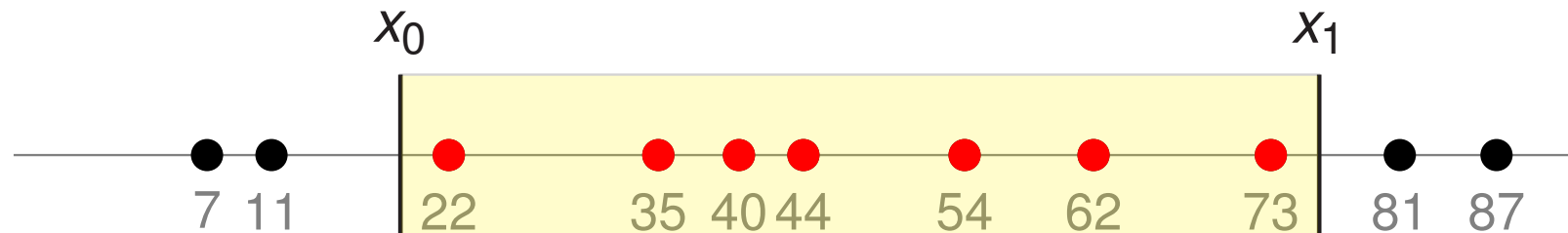
# Orthogonal range searching – 1D



One dimensional case ( $d = 1$ ). Example ( $x_0 = 19, x_1 = 76$ ):

- $\text{count}(x_0, x_1) = 7$
- $\text{report}(x_0, x_1) = \{22, 35, 40, 44, 54, 62, 73\}$

# Orthogonal range searching – 1D

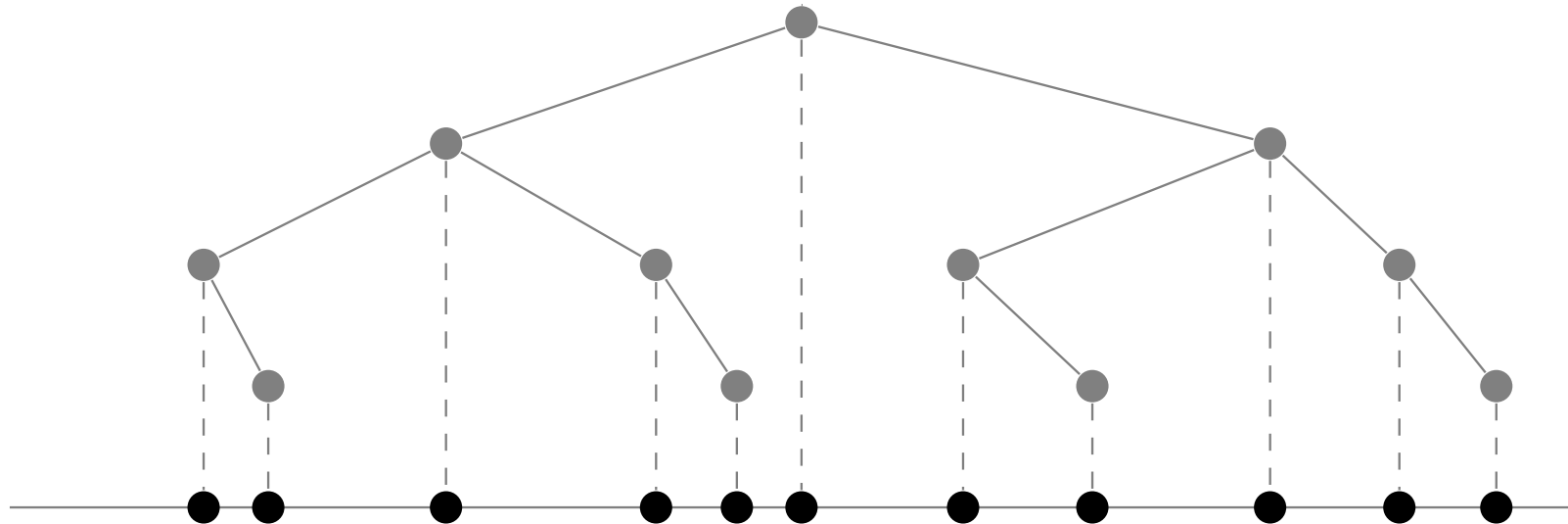


## Simple solution

- Sort points according to  $x$ -coordinates ( $O(n \log n)$ ) and store them in array  $A$
- Calculate successor  $x'_0$  of  $x_0$  and predecessor  $x'_1$  of  $x_1$
- Let  $i'$  ( $j'$ ) be the index of  $x'_0$  ( $x'_1$ ) in  $A$
- Method *count* returns  $k = j' - i' + 1$  (in  $O(\log n)$  time)
- Method *report* returns subarray  $A[i', j']$  (in  $O(\log n + k)$  time)

# Orthogonal range searching – 1D

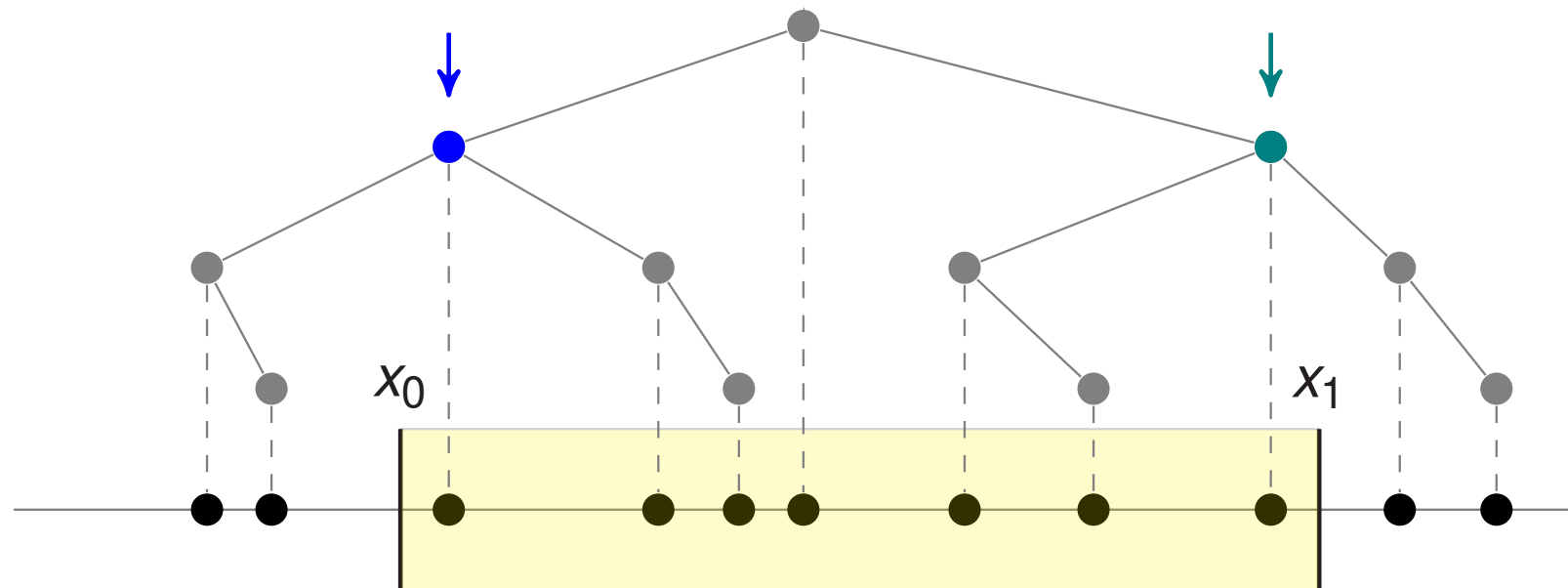
Alternative solution: balanced binary search trees



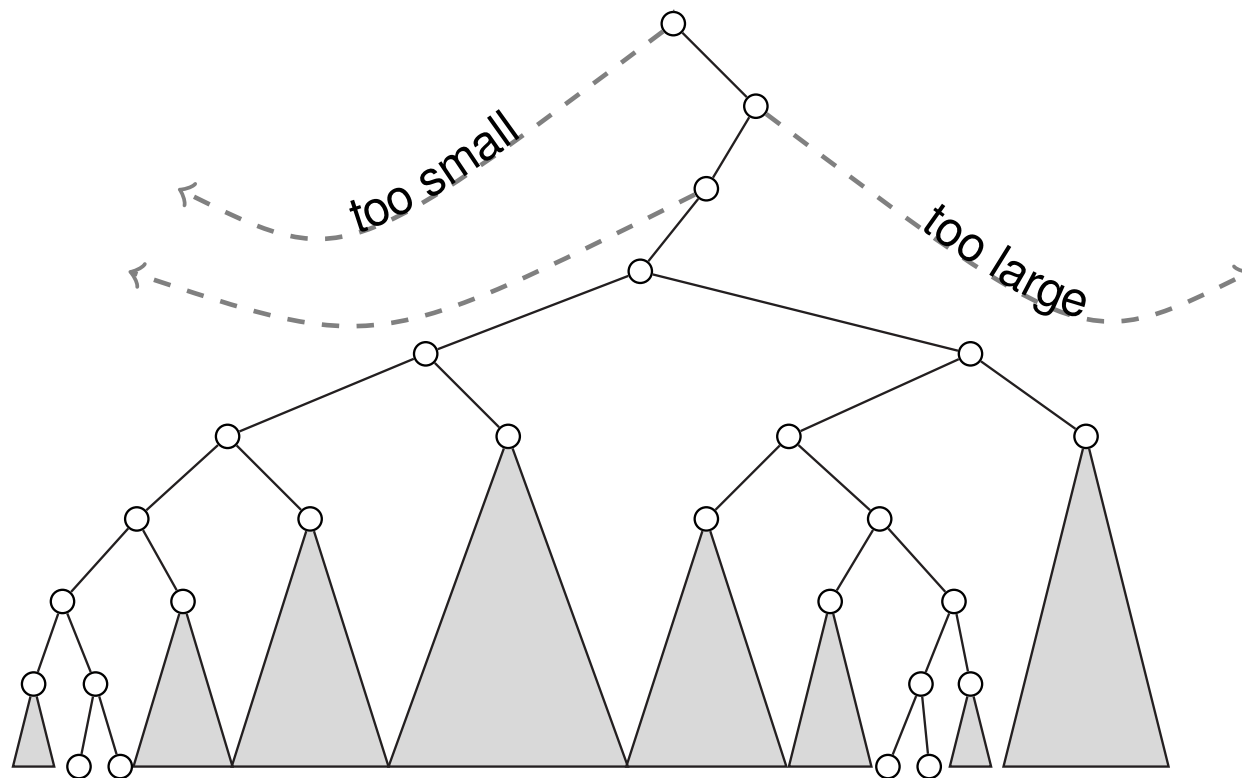
- Find point in middle, split set and recurse on both half (pick left point if set size is even)
- Depth is  $\log n$ , construction time is bounded by sorting ( $O(n \log n)$ )

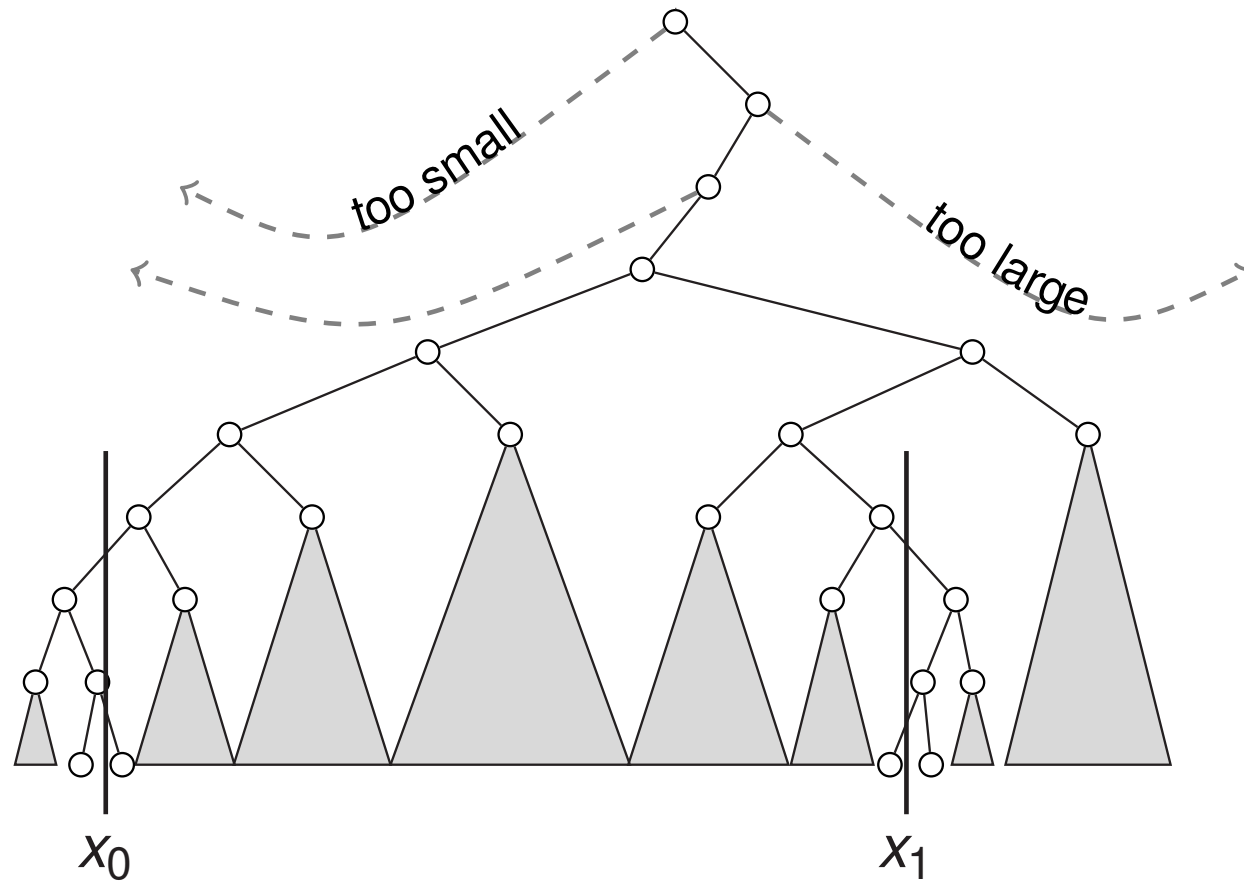
# Orthogonal range searching – 1D

Alternative solution: balanced binary search trees

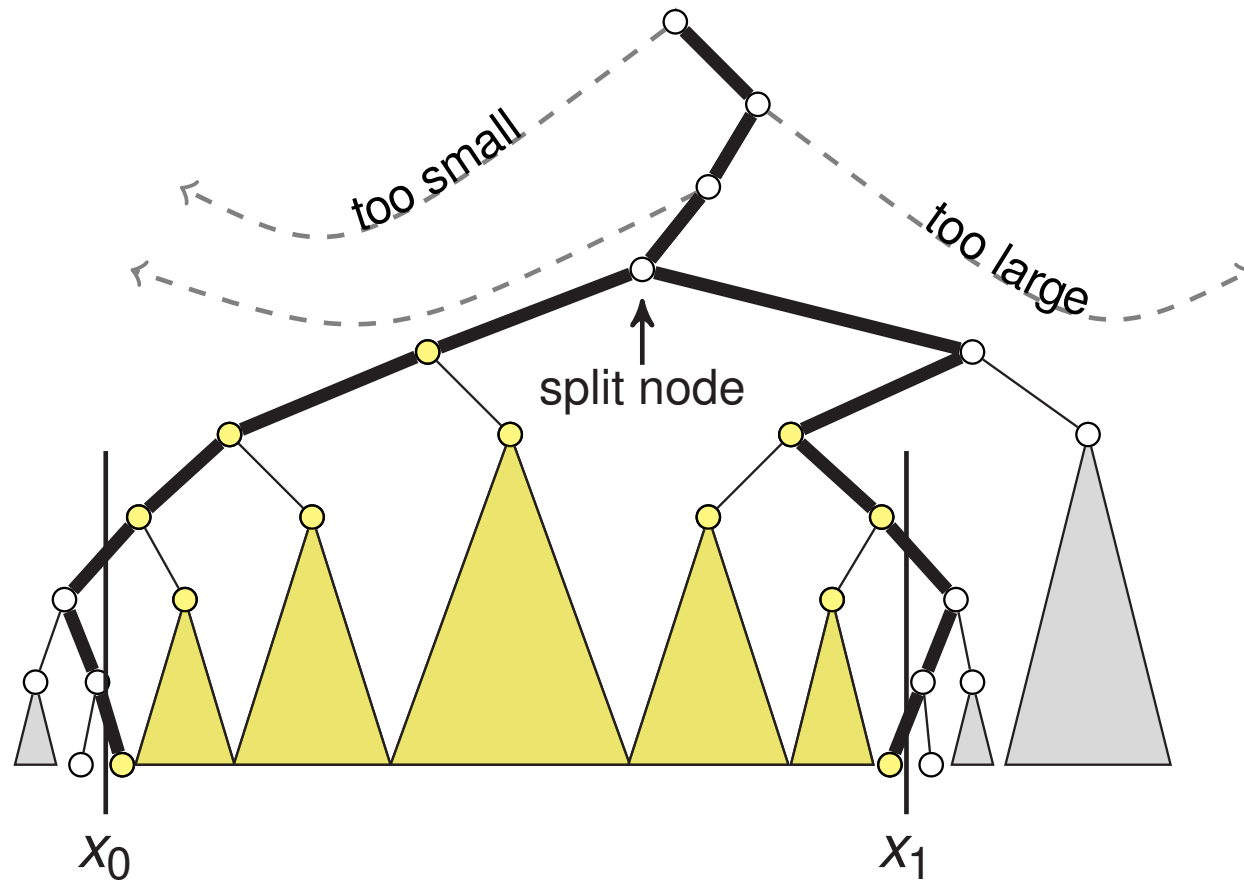


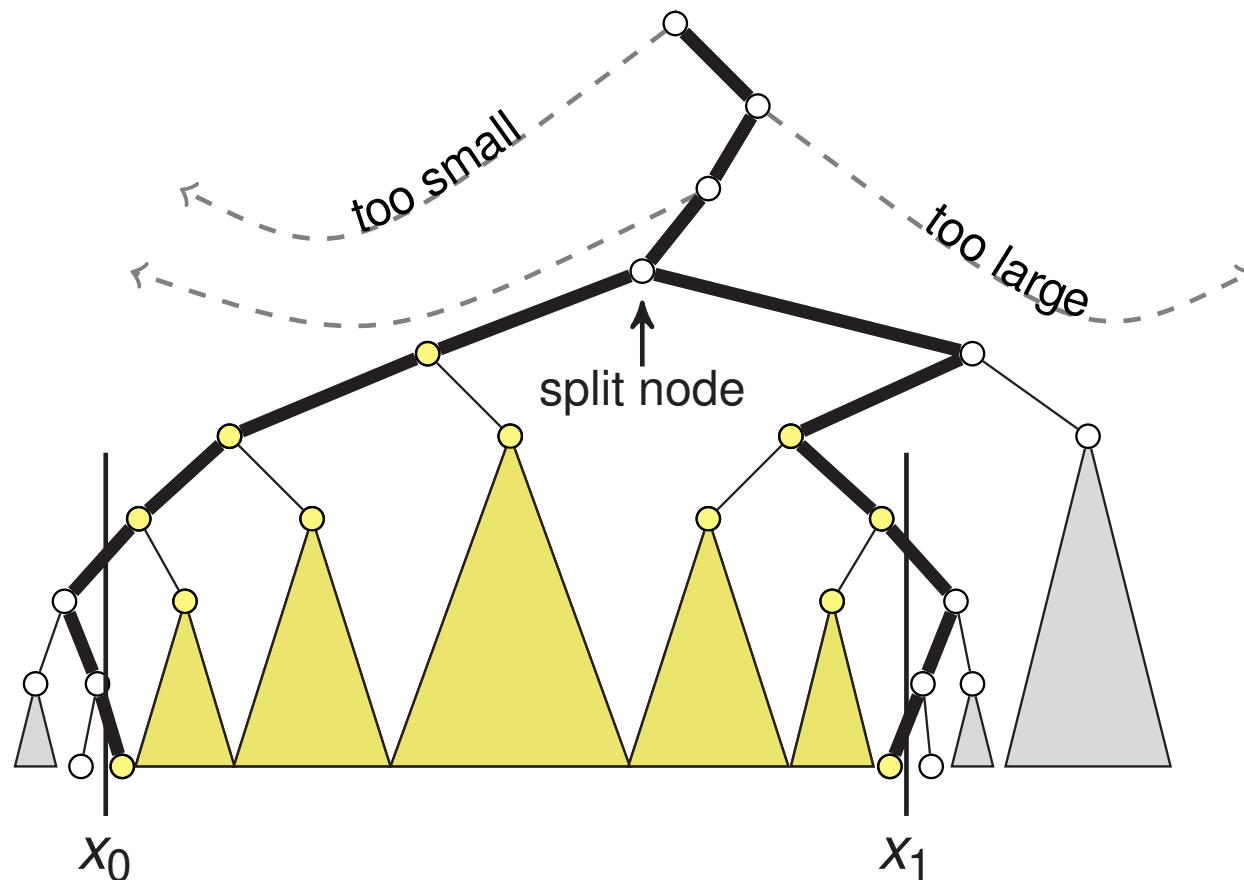
- Find **successor** (**predecessor**) of  $x_0$  ( $x_1$ ) again





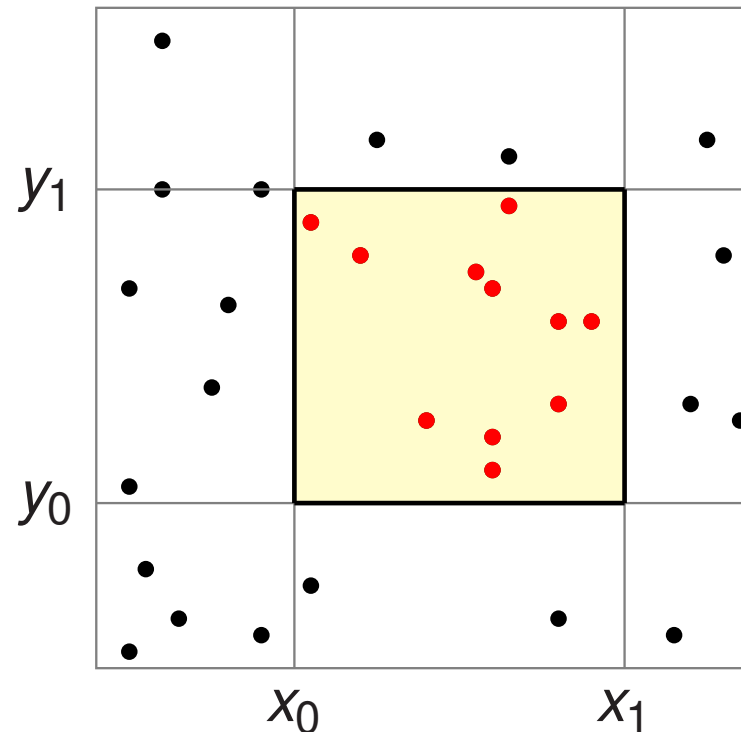






- Subtrees of off-path edges are either included or excluded from result
- Result can be implicitly represented using included off-path subtrees (there are at most  $O(\log n)$  of them)

# Orthogonal range searching – 2D



Two dimensional case ( $d = 2$ ). Example ( $x_0 = 12, x_1 = 32, y_0 = 10, y_1 = 29$ ):

■  $count(x_0, x_1, y_0, y_1) = 11$

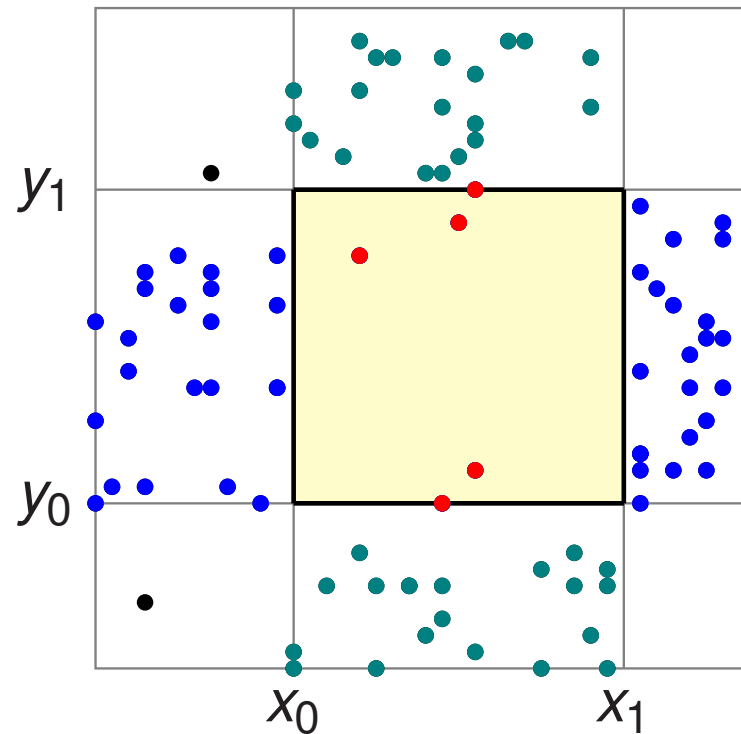
■  $report(x_0, x_1, y_0, y_1) = (19, 40), (23, 39), (22, 49), \dots$

# Orthogonal range searching – 2D

## First attempt of a solution

- Store points in array  $A_x$  and  $A_y$
- Sort points in  $A_x$  according to  $x$ -coordinate ( $A_y$  according to  $y$ -coordinate)
- Let  $k_x = \text{count}(x_0, x_1)$  in  $A_x$ , i.e. all points with  $x_0 \leq x \leq x_1$
- Let  $k_y = \text{count}(y_0, y_1)$  in  $A_y$ , i.e. all points with  $y_0 \leq y \leq y_1$
- Check smaller point list for both constraints
- Time complexity for this approach:  $O(\log n) + O(\min(k_x, k_y))$
- Well, there are cases...

# Orthogonal range searching – 2D



$$k_x = 45$$

$$k_y = 49$$

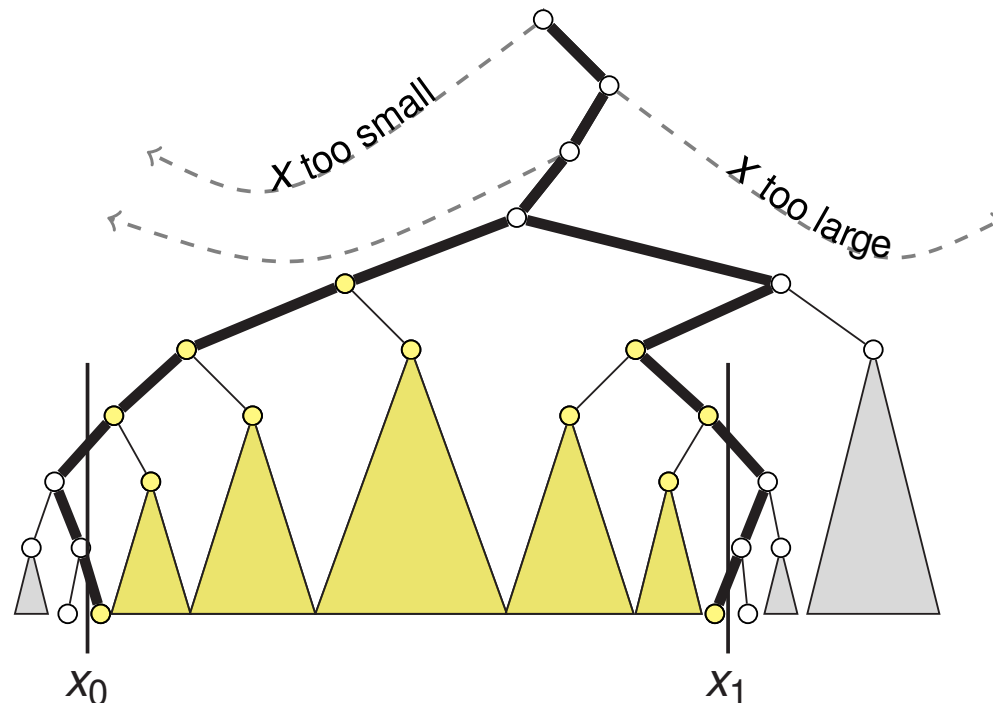
$$k = 5$$

$$n = 91$$

# Orthogonal range search – 2D

## Second attempt

- Build a balanced binary tree using the  $x$  coordinates
- Calculate the  $O(\log n)$  subtrees which contain all points with  $x_0 \leq x \leq x_1$
- Idea: Filter these subtrees by  $y$ -coordinate



# Orthogonal range searching – 2D

## How to filter by $y$ -coordinate

- For each node  $v$  in the tree build a 1D range searching structure on the  $y$ -coordinates of all points in  $v$ 's subtree
- This can be done during the preprocessing
- How does the query process change?
  - Determine paths to successor and predecessor of  $x_0$  and  $x_1$
  - Determine the root nodes of the  $O(\log n)$  included off-path subtrees
  - For each such root node  $v_i$  retrieve all points which are in  $[y_0, y_1]$  in  $O(\log n + k_i)$  time, where  $k_i$  is the number of matching points

Total time complexity:  $O(\log^2 n + k)$

- At most  $O(\log n)$  subtrees for  $x$
- Retrieval time for each subtree  $O(\log n + k_i)$
- Points from two different subtrees are *distinct*

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# Orthogonal range searching – 2D

How much space is used?

- Tree height is  $O(\log n)$
- On each level  $\ell$  each point is represented in only one node
- $\sum_{i=0}^{c_1 \log n} c_2 n = O(n \log n)$  words

How long does the preprocessing take?

- Problem: points have to be sorted according to  $y$ -coordinate in each node
- Solution: bottom-up construction
  - Start at the leaves
  - Merge the (already sorted) lists of the two children of a node
  - I.e.  $O(n \log n)$  construction time

# Orthogonal range searching – 2D

2d-range searching in  $O(\log n + k)$  time

- Idea: Avoid expensive calculation of successor/predecessor in all  $O(\log n)$  1d-range structures for  $y$ -coordinates
- Determine successor/predecessor in root node and map result into child nodes
- Technique known as *fractional cascading*
- More detailed: For each node  $v$  and entry of the  $y$ -range searching structure store a pointer to the corresponding successor in  $v$ 's left and right child

# Orthogonal range searching – 2D

