



February 14, 2007

## The $k$ -center problem

- Input is set of cities with intercity distances  
( $G = (V, V \times V)$ )
- Select  $k$  cities to place warehouses
- Goal: minimize **maximum distance** of a city to a warehouse

Other application: placement of ATMs in a city



## Results

- NP-hardness
- Greedy algorithm, approximation ratio 2
- Technique: parametric pruning
- Second algorithm with approximation ratio 2
- Generalization of Algorithm 2 to weighted problem



**Theorem 1.** *It is **NP-hard** to approximate the general  $k$ -center problem within any factor  $\alpha$ .*

*Proof.* Reduction from Dominating Set ...



Dominating set = subset  $S$  of vertices such that every vertex which is **not in  $S$**  is **adjacent** to a vertex **in  $S$** .

Finding a dominant set of minimal size is NP-hard

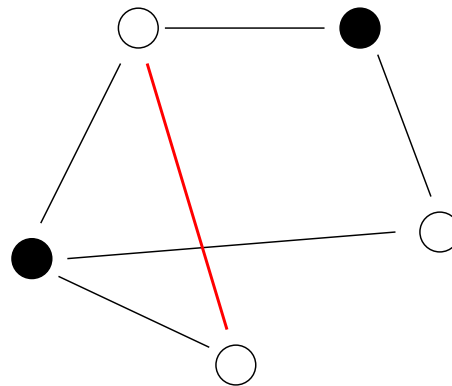
For a graph  $G$ ,  $\text{dom}(G)$  is the size of the smallest possible dominating set

Dominating set is similar to but not the same as vertex cover!



## Dominating set and vertex cover

Vertex cover = subset  $S$  of vertices such that every **edge** has at least one endpoint in  $S$



The black vertices form a dominating set but not a vertex cover.

Also, not every vertex cover is a dominating set.



**Proof** We want to find a Dominating Set in  $G = (V, E)$ .

Consider  $G' = (V, V \times V)$  and the weight function

$$d(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2\alpha & \text{else} \end{cases}$$



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Suppose  $G$  has a dominating set of size **at most  $k$** .

Then there is a  $k$ -center of cost 1 in  $G'$

→ an  $\alpha$ -approx. algorithm delivers one with **weight  $\leq \alpha$**



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If there is **no such dominating set** in  $G$ , every  $k$ -center has  
weight  $\geq 2\alpha > \alpha$ .



## Proof (continued)

Assume that there exists an  $\alpha$ -approximation algorithm **for the  $k$ -center problem.**

Decision algorithm: Run  $\alpha$ -approx algorithm on  $G'$

Solution has weight  $\leq \alpha \rightarrow$  **dominating set** of size at most  $k$  exists

Else there is no such dominating set.



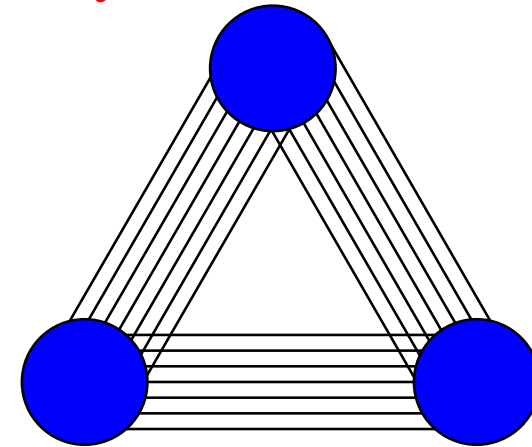




# Metric $k$ -center

$G$  is **undirected** and obeys the **triangle inequality**

$$\forall u, v, w \in V : d(u, w) \leq d(u, v) + d(v, w)$$

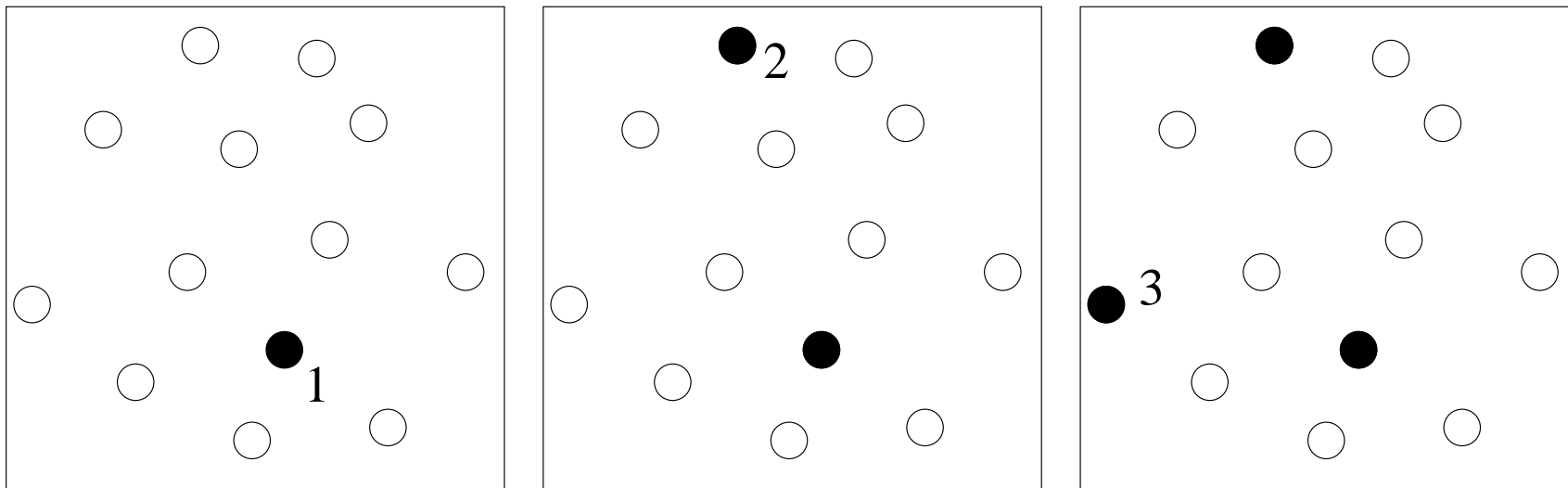


We show two **2-approximation algorithms** for this problem.



## The Greedy algorithm

- Choose the first center arbitrarily
- At every step, choose the vertex that is furthest from the current centers to become a center
- Continue until  $k$  centers are chosen





## Analysis

- Note that the sequence of distances from a new chosen center, to the closest center to it (among previously chosen centers) is **non-increasing**
- Consider the point that is furthest from the  $k$  chosen centers
- We need to show that the distance from this point to the closest center is at most  $2 \cdot \text{OPT}$
- Assume by negation that it is  $> 2 \cdot \text{OPT}$



## Analysis

- We assumed that the distance from the furthest point to all centers is  $> 2 \cdot \text{OPT}$
- This means that distances **between** all centers are also  $> 2 \cdot \text{OPT}$
- We have  **$k + 1$  points** with distances  $> 2 \cdot \text{OPT}$  between every pair



## Analysis

- Each point has a center of the optimal solution with distance  $\leq \text{OPT}$  to it
- There exists a pair of points with the same center  $X$  in the optimal solution (pigeonhole principle:  $k$  optimal centers,  $k + 1$  points)
- The distance between them is at most  $2 \cdot \text{OPT}$  (triangle inequality)
- Contradiction!



## Technique: parametric pruning

Idea: remove **irrelevant** parts of the input

- Suppose  $\text{OPT} = t$
- We want to show a 2-approximation
- Any edges of cost **more than  $2t$**  are useless: if two vertices are connected by such an edge, and one of them gets a warehouse, the other one is still too far away
- We can **remove edges that are too expensive**

Of course, we don't know OPT. But we can guess.



## Technique: parametric pruning

- We can order the edges by cost:  $\text{cost}(e_1) \leq \dots \leq \text{cost}(e_m)$
- Let  $G_i = (V, E_i)$  where  $E_i = \{e_1, \dots, e_i\}$
- The  $k$ -center problem is equivalent to finding the **minimal  $i$**  such that

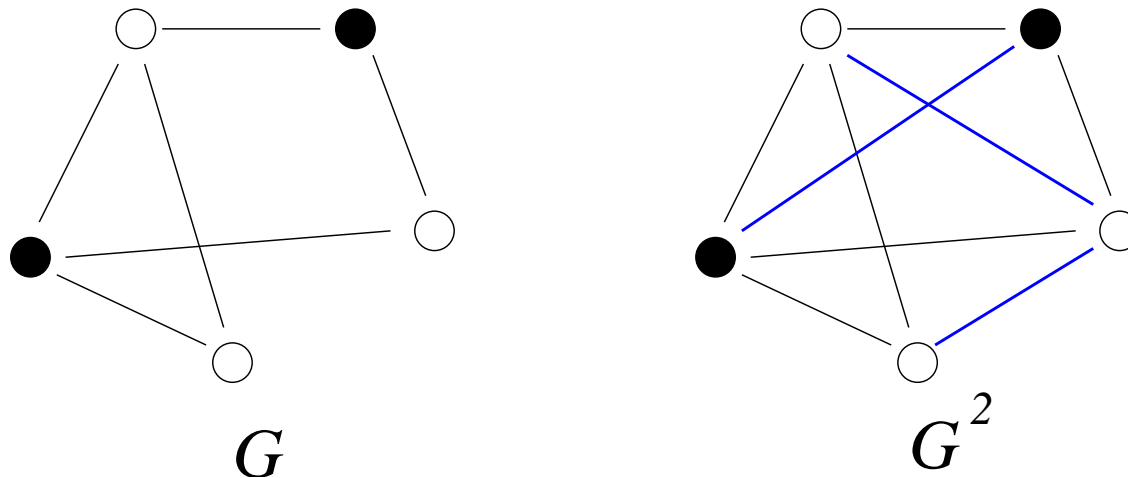
$G_i$  has a **dominating set** of size  $k$

- Let  $i^*$  be this minimal  $i$
- Then,  $\text{OPT} = \text{cost}(e_{i^*})$



## Graph squaring

For a graph  $G$ , the **square**  $G^2 = (V, E')$  where  $(u, v) \in E'$  if there is a path of length **at most 2** between  $u$  and  $v$  in  $G$  (and  $u \neq v$ )







**Lemma 2.** *For any independent set  $I$  in  $G^2$ , we have  $|I| \leq \text{dom}(G)$ .*

*Proof.* Let  $D$  be a minimum dominating set in  $G$ .

(The size of  $D$  is  $\text{dom}(G)$ .)



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So  $G^2$  contains  $|D| = \text{dom}(G)$  **cliques** spanning all vertices.

There can only be **one vertex of each clique** in  $I$ . □



# Algorithm

We use that **maximal** independent sets can be found in polynomial time.

- Construct  $G_1^2, G_2^2, \dots, G_m^2$
- Find a **maximal independent set**  $M_i$  in each graph  $G_i^2$
- Determine the **smallest**  $i$  such that  $|M_i| \leq k$ , call it  $j$
- Return  $M_j$ .

**Lemma 3.** *For this  $j$ ,  $\text{cost}(e_j) \leq \text{OPT}$ .*

**Lemma 4.** *This algorithm gives a 2-approximation.*



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*Proof.* For every  $i < j$ ...

- $|M_i| > k$  by the definition of our algorithm
- $\text{dom}(G_i) > k$  by Lemma 2
- Then  $i^* > i$

Therefore,  $i^* \geq j$ .





**Lemma 4.** *This algorithm gives a 2-approximation.*

*Proof.*

- Any **maximal independent** set  $I$  in  $G_j^2$  is also a **dominating** set (if some vertex  $v$  were not dominated,  $I \cup v$  were also independent)





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- These stars **cover all the vertices**



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- In  $G_j^2$ , we have  $|M_j|$  stars centered on the vertices in  $M_j$
- These stars **cover all the vertices**
- Each edge used in constructing these stars has cost at most  $2 \cdot \text{cost}(e_j) \leq 2 \cdot \text{OPT}$

The last inequality follows from Lemma 3. □



**Lemma 5.** *If  $P \neq NP$ , no approximation algorithm gives a  $(2 - \varepsilon)$ -approximation for any  $\varepsilon > 0$ .*

- We again use a reduction from Dominating Set
- This time, the graph must satisfy the triangle inequality
- We define  $G'$  as follows:

$$d(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2 & \text{else} \end{cases}$$

This graph satisfies the triangle inequality (proof?)



Suppose  $G$  has a dominating set of size **at most  $k$** .

Then there is a  $k$ -center of cost 1 in  $G'$

→ a  $(2 - \varepsilon)$ -approx. algorithm delivers one with **weight  $< 2$**

If there is **no such dominating set** in  $G$ , every  $k$ -center has weight  $\geq 2 > 2 - \varepsilon$ .

Thus, a  $(2 - \varepsilon)$ -approximation algorithm for the  $k$ -center problem can be used to determine whether or not there is a **dominating set** of size  $k$ .



# Weighted k-center problem

- Input is set of cities with intercity distances  
( $G = (V, V \times V)$ )
- Each city has a **cost**
- Select cities of **cost at most  $W$**  to place warehouses
- Goal: minimize **maximum distance** of a city to a warehouse



## Ideas

- We use the same graphs  $G_1, \dots, G_m$  as before
- Let  $\text{wdom}(G)$  be the weight of a **minimum weight** dominating set in  $G$
- We look for the smallest index  $i$  such that  $\text{wdom}(G_i) \geq W$
- We also use graph squaring again



## The set of light neighbors

- Let  $I$  be an independent set in  $G^2$
- For any node  $u$ , let  $s(u)$  be the **lightest** neighbor of  $u$
- Here, we also consider  $u$  to be a neighbor of itself
- Let  $S = \{s(u) \mid u \in I\}$
- We claim  $w(S) \leq wdom(G)$

(Compare the unweighted problem, where we had  $|I| \leq dom(G)$ )





**Lemma 6.**  $w(S) \leq w_{\text{dom}}(G)$

*Proof.* Let  $D$  be a minimum **weight** dominating set in  $G$ .

Then  $G$  contains  $|D|$  **stars** spanning all vertices (the nodes of  $D$  are the centers of the stars).

A star in  $G$  becomes a clique in  $G^2$ .

So  $G^2$  contains  $|D|$  **cliques** spanning all vertices.

There can only be **one vertex of each clique** in  $I$ .

For each vertex in  $I$ , the center of the corresponding star is available as a neighbor in  $G$  (this might not be the lightest neighbor). Therefore  $w(S) \leq w_{\text{dom}}(G)$ . □



# Algorithm

Let  $s_i(u)$  denote a lightest neighbor of  $u$  in  $G_i$ .

- Construct  $G_1^2, \dots, G_m^2$
- Compute a maximal independent set  $M_i$  in each graph  $G_i^2$
- Compute  $S_i = \{s_i(u) \mid u \in M_i\}$
- Find the minimum index  $i$  such that  $w(S_i) \leq W$ , say  $j$
- Return  $S_j$



**Lemma 7.** *This algorithm achieves a 3-approximation.*

□ As before we have  $\text{OPT} \geq \text{cost}(e_j)$

For every  $i < j$ ...

□  $w(S_i) > W$  by the definition of our algorithm

□  $\text{wdom}(G_i) > W$  by Lemma 6

□ Then  $i^* > i$

Therefore,  $i^* \geq j$ .



**Lemma 7.** *This algorithm achieves a 3-approximation.*

- As before we have  $\text{OPT} \geq \text{cost}(e_j)$
- $M_j$  is a dominating set in  $G_j^2$

It is a maximal independent set



**Lemma 7.** *This algorithm achieves a 3-approximation.*

- As before we have  $\text{OPT} \geq \text{cost}(e_j)$
- $M_j$  is a dominating set in  $G_j^2$
- We can cover  $V$  with stars of  $G_j^2$  centered in vertices of  $M_j$



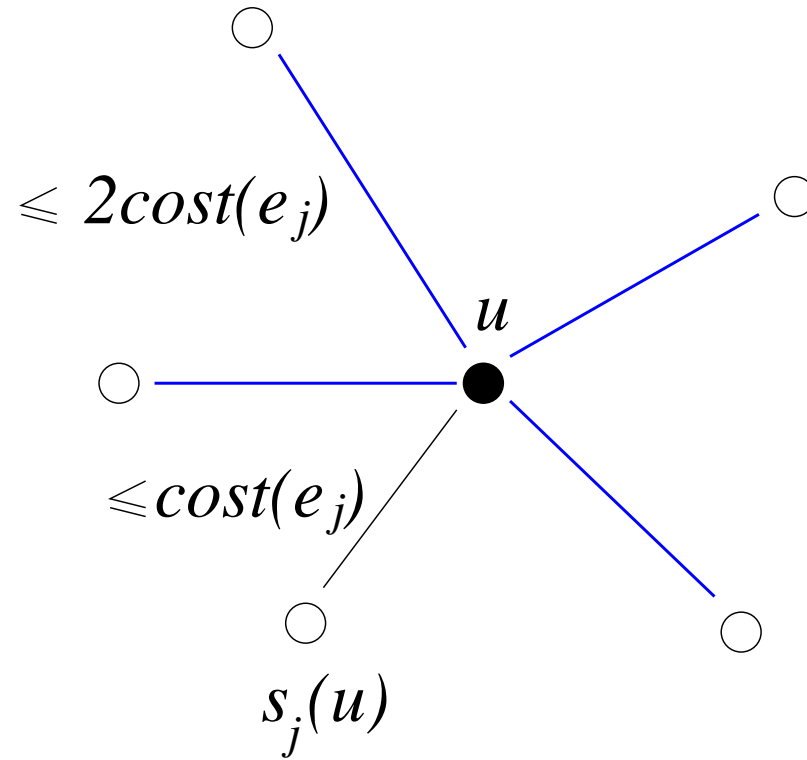
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- These stars as before use edges of cost at most  $2 \cdot \text{cost}(e_j)$   
(triangle inequality)



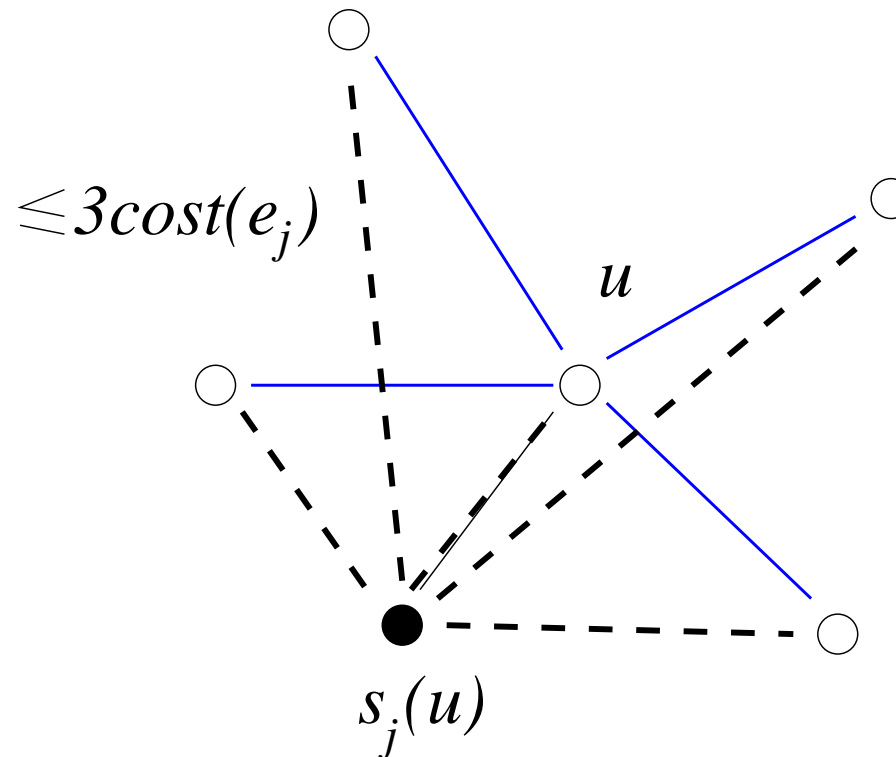
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- These stars as before use edges of cost at most  $2 \cdot \text{cost}(e_j)$   
(triangle inequality)
- Each star **center** is adjacent to a vertex in  $S_j$ , using an edge of cost at most  $\text{cost}(e_j)$



A star in  $G_j^2$



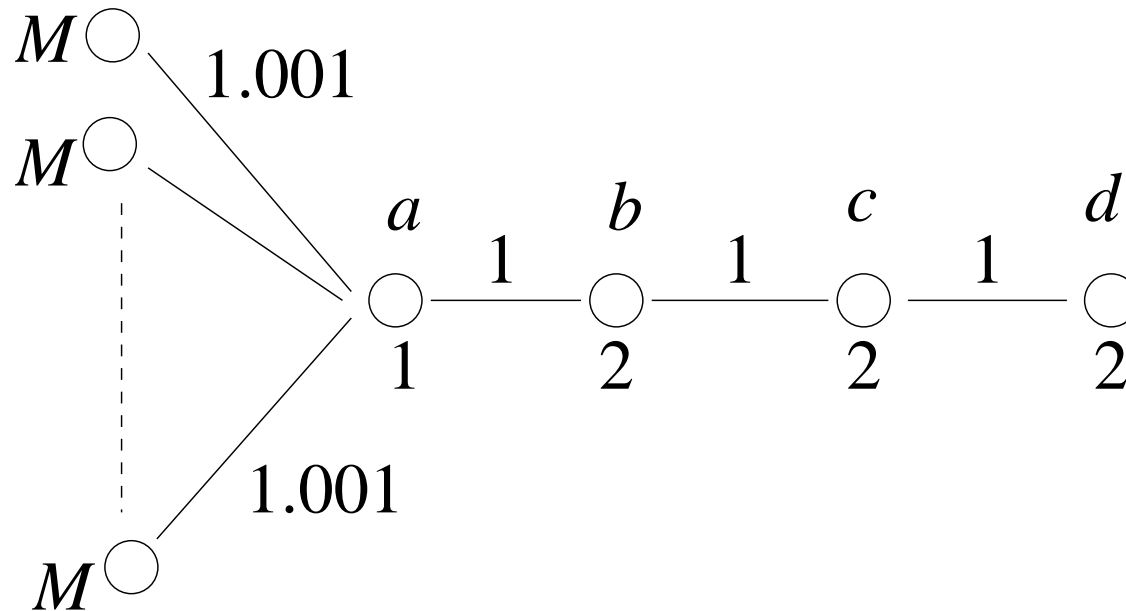


A star in  $G_j^2$  with redefined centers

Thus every node in  $G_j$  can be reached at cost at most  $3 \cdot \text{cost}(e_j)$  from some vertex in  $S$ . This completes the proof.



## Lower bound for this algorithm



There are  $n$  nodes of weight  $M$ . The bound  $W = 3$ .

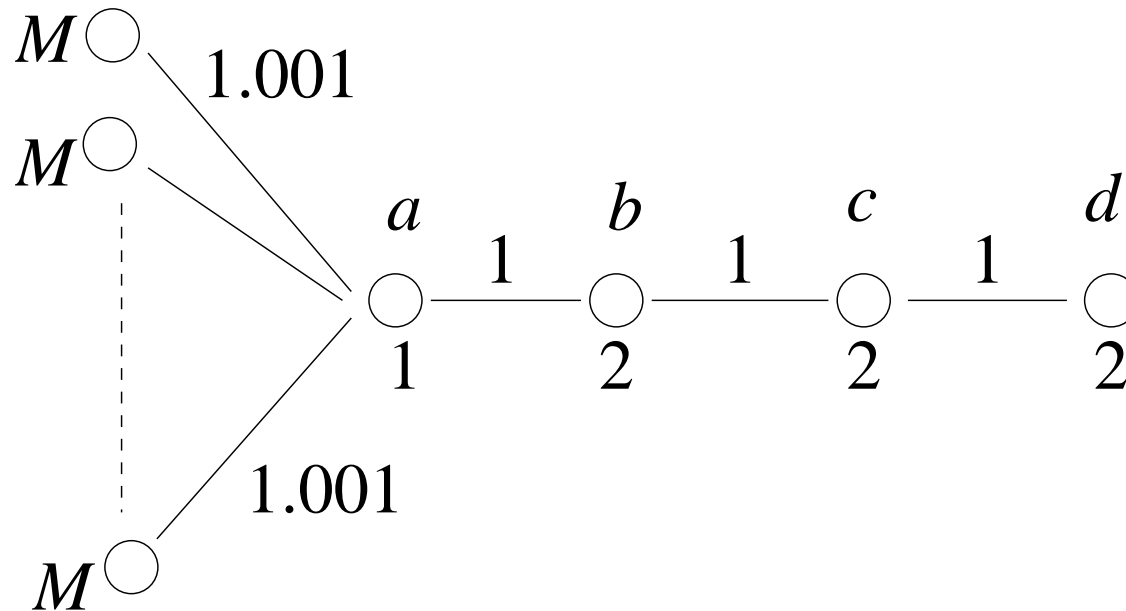
All edges not shown have weight equal to the length of the shortest path in the graph that is shown

For  $i < n + 3$ ,  $G_i$  is missing at least one edge of weight 1.001.

One vertex will be isolated (also in  $G_i^2$ ) so it will be in  $S_i$



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For  $i = n + 3$ ,  $\{b\}$  is a maximal independent subset

If our algorithm chooses  $\{b\}$ , it outputs  $S_{n+3} = \{a\}$ . Cost is 3.